

Pillsbury

Madison &  
Sutro LLP

## FACSIMILE TRANSMITTAL COVER SHEET

1100 NEW YORK AVENUE, NW

NINTH FLOOR

WASHINGTON, DC 20005-3918

TELEPHONE: (202) 861-3000 FAX: (202) 822 0944

DATE: August 2, 2000	MUST BE SENT BY:		# PAGES W/COVER: 6
TO: Mr. E. Williams	COMPANY: USPTO	FAX NO: (703) 306-4003	PHONE NO: (703) 308-8814
FROM: Perry E. Van Over	C/M #: 81255/244954	USER #: 13728	PHONE NO: (202) 861-3545

IF YOU HAVE NOT PROPERLY RECEIVED THIS FAX, PLEASE CALL (202) 861-3000. THANK YOU

## MESSAGE:

U.S. Application of GLENN et al.

Appln. No.: 09/257,188

Our Ref. 81255/244954

Mr. Williams:

Per our telephone conversation, attached are photocopies of the draft-stamped filing receipt and the completion papers including the Declaration for the above-referenced application. Thanks a lot.

Perry Van Over

CONFIDENTIALITY NOTICE: The documents accompanying this FACSIMILE transmission may contain confidential information which is legally privileged. The information is intended only for the use of the individual or entity named above. If you are not the intended recipient, or the person responsible for delivering it to the intended recipient, you are hereby notified that any disclosure, copying, distribution or use of any of the information contained in this transmission is strictly PROHIBITED. If you have received this transmission in error, please immediately notify us by telephone and mail the original transmission to us. Thank you.

Operator \_\_\_\_\_

Time Sent \_\_\_\_\_

Rush ID \_\_\_\_\_

WASHINGTON DC LOS ANGELES NEW YORK NORTHERN VIRGINIA ORANGE COUNTY SACRAMENTO SAN DIEGO SAN FRANCISCO SILICON VALLEY  
TOKYO

30081670V1

Completion Under Rule 53(h)

9. \_\_\_\_\_ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / filed on \_\_\_\_\_
10. ☐ Attached: \_\_\_\_\_ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.
11. ☐ Attached:
12. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
13. Basic Filing Fee .....				Design Application Not Design Application	\$310/\$155 \$760/\$380	106/26 101/201
14. Total Effective Claims				59	minus 20 = 39	x \$18/\$9 +702 103/203
15. Independent Claims				8	minus 3 = 5	x \$78/\$39 +390 102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)					\$260/\$130 +0 104/204	
17. Surcharge for filing Declaration/filing fee late					\$130/\$65 +130 105/205	
18.				FILING FEE ENCLOSED = \$1982		
19. Original due date:				May 18, 1999		
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached				(1 mo) (2mos) (3mos) (4mos)	\$110/\$55 = \$380/\$190 = \$870/\$435 = \$1360/\$680 =	+1360 115/215 116/216 117/217 118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee .....					\$130 +0 139	
22. If "assignment" box 5 is X'd, add recording fee. ....					\$40 +0 381	
23. Petition Fee for					\$130 +	
24.				TOTAL FEE ENCLOSED = \$3342		

Our Deposit Account No. 03-3975  
Our Order No. 81255

Ca

244054

Ms

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

1100 New York Avenue, N.W.  
Ninth Floor East Tower  
Washington, D.C. 20005-3918  
Tel: (202) 861-3000  
Atty/Sec: PNK/PEV/mjg

Pillsbury Madison & Sutro LLP  
Intellectual Property Group  
By: Atty: Paul N. Kokulis

Sgs:

*[Signature]*  
Date: 8/2/00

Reg. No. 16773

Fax: (202) 822-0944  
Tel: (202) 861-3503

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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Patent and Trademark Office  
Patent Examination Division  
Patent Examination Division  
Patent Examination Division  
Patent Examination Division  
Patent Examination Division  
Patent Examination Division  
Patent Examination Division

DATE MAILED

## NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1982.

- ☒ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.  
Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. The following additional claims fees are due:  
\$ 702 for 39 total claims over 20.  
\$ 390 for 5 independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:  
☒ is missing or unsigned.  
☐ does not cover the newly submitted items.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

[Signature]  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**RECEIPT FROM PTO FOR INDICATED ITEMS**

(Do NOT Use for New or Continuing Applications of Any Kind)  
 Use 2 postcards for all New Applis. (cont/Div/CIP, too)  
Use this sheet when filing CPA

Appln. No: 09/257,188	Attny: PNK/Perry E. Van over
First Inventor: GLENN et al.	Date: September 13, 1999
	Matter No: 244954
	Client No: 81255

**ENCLOSED:**

☐ Response/Amendment ☐ Cover Sheet ☐ Cited/Listed Documents

☒ Completion Request for R 53(i)/PCT Nat.

# No. of Pages Abstract

# No. of Pages Spec and Claims

# No. of Numbered Claims Only

# No. of Sheets of Drawings (Figs



☐ 1 Set Formal ☐ 1 Set Informal ☐ Cover Letter

☒ Declaration ☐ # of pages

☐ Assignment ☐ Cover Sheet

☐ Small Entity Declaration

☐ Extension Petition (PAT-111)

# ☐ No. of Priority Documents

☐ IDS Letter ☐ Citing Appln(s) ☐ Foreign Sch Rep/OA

☐ PTO-1449 ☐ Cited Documents

☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

\$ 3342.00 Fee (Check)

OTHER.

Current DUE DATE:

September 18, 1999

(Submit Single Copy Only)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)  
For Design, Provisional, or Utility ApplicationsPATENT  
APPLICATIONCOMPLETION Under  
Rule 53(f)In re PATENT APPLICATION of

Inventor(s): GLENN, Gregory M.

Attn: Application Division

Appl. No.: 09 257,188  
Series Code 0 Serial No. 0Any Dkt PM 244954 1002  
Ms Client Ref

Filed: February 25, 1999

Title: USE OF PENETRATION ENHANCERS AND  
BARRIER..

Date: September 13, 1999

Hon. Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application;

1. Notice to File Missing Parts ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☐ Original ☒ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if any box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.2B. ☒ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

- 3.
- ☐
- Specification originally filed in non-English language; hence verified translation attached of:

a. ☐ Abstractb. #  pages of Specification (only spec. & claims)c. ☐ Drawing Fig(s). 

- 4.
- ☐
- Letter filing
- formal
- drawing attached.

- 5.
- ☐
- Attached is an assignment and cover sheet.
- Please return the recorded assignment
- to the undersigned.

- 6.
- DOMESTIC/INTERNATIONAL
- priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/075,850	25 February 1998	(2) 60/075,856	25 February 1998
(3)		(4)	
(5)		(6)	

- 7.
- FOREIGN
- priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in
- 

8.

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	